

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re:



LeRoy D Dickson, et al.

Application Serial No.:

09/965,123

Filing Date

September 27, 2001

Title

DOE-BASED SYSTEMS AND DEVICES FOR PRODUCING

LASER BEAMS HAVING MODIFIED BEAM

CHARACTERISTICS

Examiner

: not yet assigned

Group Art Unit

2872

Attorney Docket No. :

108-010USANA0

Honorable Commissioner

of Patents and Trademarks

Washington, D.C. 20231



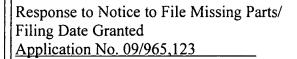
RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION/ FILING DATE GRANTED

Sir:

In response to the Notice To File Missing Parts Of Application/Filing Date Granted, mailed in the present Application on November 2, 2001, Applicants submit the following documents for filing in the same:

- Copy of Combined Declaration of Inventorship and Power of Attorney for Application Serial No. 09/071,512;
- Request for Approval of Proposed Changes to the Drawings;
- Eight (8) sheets of Redmarked Drawings;
- Submission of Formal Drawings;
- Sixty (60) sheets of Formal Drawings;
- Part 2 of Notice Of Missing Parts Of Application/Filing Date Granted; and
- Return Receipt Postcard.

Enclosed in payment of the requisite filing fees is Thomas J Perkowski, Esq. PC Check No. 2599 in the amount of \$870.00. The Commissioner is hereby authorized to charge any fee deficiencies or credit any overpayments to Deposit Account 16-1340. A copy of this document is enclosed herewith.



Respectfully submitted,

Dated: January 2, 2002

Thomas J. Perkowski, Esq.
Reg. 33,134
Attorney for Applicants
Thomas J. Perkowski, Esq., P.C.
Soundview Plaza
1266 East Main Street
Stamford, Connecticut 06902
203-357-1950
http://www.tipatlaw.com

<u>CERTIFICATE OF FIRST CLASS MAIL</u> <u>UNDER 37 CFR 1.08</u>

I hereby certify that this correspondence is being deposited with the United States Postal Service on January 2, 2002, as First Class Mail, in a prepaid postage envelope addressed to:

Commissioner of Patents and Trademarks
Washington, D.C. 20231

Thomas J. Perkowski, Esq.
Dated: January 2, 2002



IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re Application of:

Applicants : LeRoy D Dickson, et al.

Application Serial No.: 09/965,123

Filing Date : September 27, 2001

Title : DOE-BASED SYSTEMS AND DEVICES FOR PRODUCING

LASER BEAMS HAVING MODIFIED BEAM

CHARACTERISTICS

Examiner : not yet assigned

Group Art Unit : 2872

Attorney Docket No. : 108-010USANA0

Honorable Commissioner of Patents

and Trademarks

ATTN: Official Draftsperson Washington, DC 20231



REQUEST FOR APPROVAL OF PROPOSED CHANGES TO THE DRAWINGS

Sir:

Applicants hereby request approval of proposed changes to Figs.2A, 2B, 2C, 2D, 2E, 2F, 2G, 2H, 2I, 2J, 2K, 2L, 2M and 2N which are indicated in red ink on the sheets filed herewith. Applicants also file concurrently herewith Formal Drawings, which include the proposed changes.

Respectfully submitted,

Dated: January 2, 2002

Thomas J. Perkowski, Esq.

Reg. No.: 33,134

Attorney for Applicants

Thomas J. Perkowski, Esq., P.C.

Soundview Plaza 1266 East Main Street

Stamford, Connecticut 06902

203-357-1950

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> Commissioner of Patents and Trademarks Washington, D.C. 20231

Thomas J. Perkowski, Esq.

Reg. No. 33,134 Date: January 2, 2002



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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

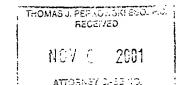
09/965,123

09/27/2001

LeRoy Dickson

108-010USANA0

CONFIRMATION NO. 2028



FORMALITIES LETTER

OC000000007015868

Date Mailed: 11/02/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

COPY OF PAPERS ORIGINALLY FILED

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

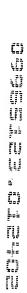
The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

01/29/2002 BNGUYEN1 00000036 09965123

01 FC:101 02 FC:105 740.00 OP

130.00 UP





A copy of this notice MUST be returned with the reply.

Customer Service Center Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

THE UNITED STATES PATENT AND TRADEMARK OFFICES

In re:

Applicants : LeRoy D Dickson, et al.

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Washington, DC 20231

SUBMISSION OF FORMAL DRAWINGS

SIR:

In lieu of substitute drawings required by the Notice to File Missing Parts of Nonprovisional Application mailed November 2, 2001, Applicants respectfully submit herewith Sixty (60) sheets of Formal Drawings for filing in the present Application.

The Commissioner is hereby authorized to charge any fee deficiencies in connection with the present Application to Deposit Account 16-1340. A copy of this document is enclosed herewith.

Respectfully submitted,

Dated: January 2, 2002

Thomas J. Perkowski, Esq.

Reg. No.: 33,134

Attorney for Applicants

Thomas J. Perkowski, Esq., P.C.

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Thomas J. Perkowski, Esq.

Reg. No. 33,134 Date: January 2, 2002